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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/706,791

11/12/2003

Christopher William Aston

AM101119

8289

25291

7590

05/05/2006

WYETH  
PATENT LAW GROUP  
5 GIRALDA FARMS  
MADISON, NJ 07940

EXAMINER

LU, FRANK WEI MIN

ART UNIT

PAPER NUMBER

1634

DATE MAILED: 05/05/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

10/706,791

Applicant(s)

ASTON ET AL.

Examiner

Frank W. Lu

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-31 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☐ Claim(s) \_\_\_\_ is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☒ Claim(s) 1-31 are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All   b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. ____. |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date ____. | 6) <input type="checkbox"/> Other: ____.  |

## **DETAILED ACTION**

### ***Election/Restrictions***

1. Restriction to one of the following inventions is required under 35 U.S.C. 121:
  - I. Claims 1-5, drawn to a method of screening for a neurological disorder in a human subject, classified in class 435, subclass 6.
  - II. Claims 6-12 and 14-20, drawn to a method for treating a neurological disorder in a human in need thereof, classified in class 435, subclass 6.
  - III. Claims 13, 21, and 22, drawn to an antisense RNA molecule which inhibits the expression of an IGFBP, classified in class 536, subclass 23.1.
  - IV. Claims 23-27, drawn to a pharmaceutical composition which dissociates a protein complex, classified in class 530, subclass 350.
  - V. Claims 28, 30, and 31, drawn to a method of screening for compounds which dissociate an IGF/IGFBP/ALS trimer complex, classified in class 530, subclass 350.
  - VI. Claim 29, drawn to a method of screening for compounds which dissociate an IGF/IGFBP/ALS trimer complex, classified in class 530, subclass 350.

2. The inventions are distinct, each from the other because of the following reasons:

Note that claim 13 should not be in Group II but in Group III since an antisense molecule cannot be used for dissociating a protein complex.

Group I and Groups II, V, and VI are distinct and independent inventions in that they are directed to methods that have different method steps. As a result, different and distinct searches will have to be performed. For example, the search required for Group I such as step (c) of claim

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1 is not required for Groups II, V, and VI while the search required for Group II such as administering to the human a therapeutically effective amount of a composition which dissociates a protein complex comprising an Insulin-like growth factor (IGF) and an Insulin-like growth factor binding protein (IGFBP) of claim 23 or the search required for Group V such as step (c) of claim 28 or the search required for Group VI such as step (c) of claim 29 is not required for Group I.

Group I and Groups III and IV are distinct and independent inventions in that they are directed to a method and two products that are used for different purpose. As a result, different and distinct searches will have to be performed. For example, the search required for Group I such as step (c) of claim 1 is not required for Groups III and IV while the search required for Group III such as an antisense RNA molecule which inhibits the expression of an IGFBP of claim 21 or the search required for Group IV such as a pharmaceutical composition which dissociates a protein complex comprising an Insulin-like growth factor (IGF) and an Insulin-like growth factor binding protein (IGFBP) of claim 23 is not required for Group I.

Groups II and III are distinct and independent inventions in that they are directed to a method and a product that are used for different purpose. As a result, different and distinct searches will have to be performed. For example, the search required for Group II such as administering to the human a therapeutically effective amount of a composition which dissociates a protein complex comprising an Insulin-like growth factor (IGF) and an Insulin-like growth factor binding protein (IGFBP) of claim 6 is not required for Group III while the search required for Group III such as an antisense RNA molecule which inhibits the expression of an IGFBP of claim 21 is not required for Group II.

Groups II and IV are related as product and process of use. The inventions can be shown to be distinct if either or both of the following can be shown: (1) the process for using the product as claimed can be practiced with another materially different product or (2) the product as claimed can be used in a materially different process of using that product. See MPEP § 806.05(h). In the instant case, the product as claimed can be used in a materially different process of using that product such as a method for measuring the effect of the pharmaceutical composition recited in claim 23 on the binding between an Insulin-like growth factor (IGF) and an Insulin-like growth factor binding protein (IGFBP).

Group II and Groups V and VI are distinct and independent inventions in that they are directed to methods that have different method steps. As a result, different and distinct searches will have to be performed. For example, the search required for Group II such as administering to the human a therapeutically effective amount of a composition which dissociates a protein complex comprising an Insulin-like growth factor (IGF) and an Insulin-like growth factor binding protein (IGFBP) of claim 6 is not required for Group II while the search required for Group V such as step (c) of claim 28 or the search required for Group VI such as step (c) of claim 29 is not required for Group II.

Groups III and IV are distinct and independent inventions in that they are directed to two products that are used for different purpose. As a result, different and distinct searches will have to be performed. For example, the search required for Group III such as an antisense RNA molecule which inhibits the expression of an IGFBP of claim 21 is not required for Group IV while the search required for Group III such as a pharmaceutical composition which dissociates a

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protein complex comprising an Insulin-like growth factor (IGF) and an Insulin-like growth factor binding protein (IGFBP) of claim 23 is not required for Group III.

Group III and Groups V and VI are distinct and independent inventions in that they are directed to a product and two methods that are used for different purpose. As a result, different and distinct searches will have to be performed. For example, the search required for Group III such as an antisense RNA molecule which inhibits the expression of an IGFBP of claim 21 is not required for Groups V and VI while the search required for Group V such as step (c) of claim 28 or the search required for Group VI such as step (c) of claim 29 is not required for Group III.

Group IV and Groups V and VI are distinct and independent inventions in that they are directed to a product and two methods that are used for different purpose. As a result, different and distinct searches will have to be performed. For example, the search required for Group IV such as a pharmaceutical composition which dissociates a protein complex comprising an Insulin-like growth factor (IGF) and an Insulin-like growth factor binding protein (IGFBP) of claim 23 is not required for Groups V and VI while the search required for Group V such as step (c) of claim 28 or the search required for Group VI such as step (c) of claim 29 is not required for Group IV.

Groups V and VI are distinct and independent inventions in that they are directed to methods that have different method steps. As a result, different and distinct searches will have to be performed. For example, the search required for Group V such as step (c) of claim 28 is not required for Group VI while the search required for Group VI such as step (c) of claim 29 is not required for Group V.

Because these inventions are independent or distinct for the reasons given above and have acquired a separate status in the art because of their recognized divergent subject matter, restriction for examination purposes as indicated is proper.

3. Group II contains claims directed to the following patentably distinct species:

- (1) the IGFBP is IGFBP-2 (claim 18)
- (2) the IGFBP is IGFBP-5 (claim 18)

The species are independent or distinct because they are different IGFBP.

Applicant is required under 35 U.S.C. 121 to elect a single disclosed species for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable. Currently, generic claims are claims 6-12, 13-17, 19, and 20.

Applicant is advised that a reply to this requirement must include an identification of the species that is elected consonant with this requirement, and a listing of all claims readable thereon, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which depend from or otherwise require all the limitations of an allowable generic claim as provided by 37 CFR 1.141. If claims are added after the election, applicant must indicate which are readable upon the elected species. MPEP § 809.02(a).

4. Group II further contains claims directed to the following patentably distinct species:

- (3) the IGF is IGF-1 (claim 19)
- (4) the IGF is IGF-II (claim 20)

The species are independent or distinct because they are different IGF.

Applicant is required under 35 U.S.C. 121 to elect a single disclosed species for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable. Currently, generic claims are claims 6-12 and 14-18.

Applicant is advised that a reply to this requirement must include an identification of the species that is elected consonant with this requirement, and a listing of all claims readable thereon, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which depend from or otherwise require all the limitations of an allowable generic claim as provided by 37 CFR 1.141. If claims are added after the election, applicant must indicate which are readable upon the elected species. MPEP § 809.02(a).

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

5. Papers related to this application may be submitted to Group 1600 by facsimile transmission. Papers should be faxed to Group 1600 via the PTO Fax Center. The faxing of such papers must conform with the notices published in the Official Gazette, 1096 OG 30 (November 15, 1988), 1156 OG 61 (November 16, 1993), and 1157 OG 94 (December 28, 1993)(See 37 CAR § 1.6(d)). The CM Fax Center number is (571)273-8300.



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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Frank Lu, Ph.D., whose telephone number is (571)272-0746.

The examiner can normally be reached on Monday-Friday from 9 A.M. to 5 P.M.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ram Shukla, can be reached on (571)272-0735.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to (571) 272-0547.

May 2, 2006

A handwritten signature in black ink, appearing to read 'Frank Lu', is positioned above the printed name.

**FRANK LU**  
**PRIMARY EXAMINER**